

Memorandum

To: Chair and Commissioners

Date: March 20, 2002

From: Diane C. Eidam

**File No:
Reference Number 4.2
Action**

Ref: Commission Approval of the FY 2001-02 Rural Transit System Grant Program - Program of Projects

Issue:

Should the Commission approve the attached Resolution and Program of Projects list for FY 2001-02 Rural Transit System Grant Program for a total of \$17,902,000 in Public Transportation Account funds?

Recommendation:

Commission staff has reviewed the recommendation submitted by the Application Review Committee, as well as subsequent clarifications and recommends that the Commission approve the attached resolution and project list, which would grant approval of the Program of Projects for the FY 2001-02 Rural Transit System Grant Program. All eligible projects are being recommended for funding for a total of \$17,902,000 in Public Transportation Account funds.

Background:

The Governor signed Assembly Bill 437 on September 24, 2001, (Chapter 333 Statutes of 2001) which established the Rural Transit System Grant Program to provide for capital projects that primarily serve rural areas of the State. The Budget Act of 2001 appropriated \$18 million in Public Transportation Account funds for the Program, and unless new legislation is submitted, the Rural Transit System Grant Program is a one-year appropriation of funds in FY 2001-02.

In August of 2001, the Department submitted draft Program Guidelines to the Commission and the Commission adopted the Program Guidelines and Application on October 3, 2001, per AB 437. AB 437 requires that grants be awarded on a competitive basis for projects that primarily serve rural areas and the grants must be used for capital projects; such as, purchase vehicles and/or equipment, construct new transit facilities, and rehabilitate transit facilities vehicles and/or equipment. The recipients must be eligible to receive Transportation Development Act (TDA) funds, and for fiscal year 2001-02 the recipient must expend all its TDA funds on transit. AB 437 also requires the use of the following criteria in selecting projects: project need and effectiveness; filling transit gaps or other connectivity; equitable distribution of funds; safety to passengers transit workers, or the general public; and replacement vehicles must have exceeded service life expectations. All applicants must provide a local match of not less than 10 percent nor more than 50 percent, the amount of which is determined by averaging the TDA funds expended on non-transit projects over

the three most recent years. The Guidelines and application, as adopted, contain all the above elements.

Chair and Commissioners

March 20, 2002

Page 2

As you will note, the timelines involved in implementing the program are very condensed. The Governor signed AB 437 on September 24, 2001. The Commission approved Program Guidelines on October 3, 2001. The Guidelines required project applications to be submitted to the respective Districts within the Department by December 28, 2001. District staffs compiled and ensured applications were complete and then forwarded all applications to HQ Division of Mass Transportation.

The Application Review Committee, which is made up of members from the Department's HQ Division of Mass Transportation and District offices, CalACT and a Coordinated Transportation Service Agency (CTSA), started reviewing and scoring the applications on January 17, 2002, and notified applicants of their project scores in February 2002. Commission staff received the Application Review Committee's recommended funding for its Program of Projects list on March 20, 2002. The Rural Transit System Grant Program hearing and approval of the Program of Projects is scheduled for the April 2002 Commission meeting, in order to meet the statutory deadline of having the funds encumbered by June 30, 2002. Any funds not encumbered by June 30, 2002, will remain as unused funds in the Public Transportation Account.

The attached Program of Projects list contains the projects recommended for funding by the Application Review Committee. The list is first sorted in order of project type, then by county, then by applicant name. The Application Review Committee is recommending a total of 160 projects, as follows: eighty-three replacement vehicles, forty-four expansion vehicles, thirteen new facilities, twelve requests for new equipment (computers and other related equipment), five requests for facility expansions, two requests for right-of-way acquisition and one facility rehabilitation project for a total of \$17,902,000.

The Department received a total of eighty-seven applications with multiple project requests for a statewide total of \$25,569,618. The eighty-seven applications came from forty-three applicants representing twenty-six counties. Of the eighty-seven applications, twenty-one projects were deemed ineligible for various reasons ranging from agencies requesting vehicles for other ineligible agencies, agencies requesting replacement vehicles for vehicles that are not currently in service, the inability of agencies to complete environmental documentation in the time available to fund the project, and agencies not providing pertinent documentation by the application due date for the project to be deemed eligible. All eligible projects are being recommended for funding.

Program applicants were notified of their scores in early February. The Rural Transit System Grant Program Guidelines, adopted October 3, 2001, provide an opportunity for applicants to appeal the score given by the Application Review Committee. An applicant may only appeal on issues where the Application Review Committee did not follow the established procedures and/or criteria outlined in the Guidelines and application. Applicants may appeal directly to the Commission at its April 3-4, 2002 meeting.

All applicants that were deemed ineligible were contacted and given the reason for the ineligibility determination. The Department has indicated to applicants that determination of ineligibility is not grounds for an appeal as outlined in the Guidelines, as the Application Review Committee did not score ineligible projects.

CALIFORNIA TRANSPORTATION COMMISSION
ADOPTION OF FY 2001-02 RURAL TRANSIT SYSTEM GRANT PROGRAM

Resolution G-02-XX

- 1.1 WHEREAS, Assembly Bill 437 was signed by the Governor on September 24, 2001, which added Section 14057 to the Government Code; and
- 1.2 WHEREAS, Section 14057 of the Government Code establishes the Rural Transit System Grant Program (Program); and
- 1.3 WHEREAS, Section 14057 of the Government Code has the following requirements for the Program:
 - Not later than August 31, 2001, the Department shall prepare guidelines for the implementation of a Rural Transit System Grant Program and submit those guidelines to the California Transportation Commission (Commission) for review.
 - Not later than October 15, 2001, both of the following shall occur:
 - The Commission shall adopt the guidelines for the program.
 - The Department shall establish the program in accordance with Section 14057 and the guidelines adopted by the Commission.
 - The guidelines prepared by the Department and adopted by the Commission shall include all of the following requirements:
 - The Department shall award grants to recipients on a competitive basis for projects that serve primarily rural areas.
 - Grants shall be used for the following purposes: to purchase, construct, and rehabilitate transit facilities, vehicles, and equipment, including but not limited to, energy efficiency retrofits. To purchase rights-of-way for transit systems.
 - Grants shall be awarded based on criteria that include, but are not limited to, all of the following: project need and effectiveness, filling transit service gaps, the equitable distribution of funds, safety, and for replacement vehicles or equipment has exceeded service life expectations.
 - Grant awards shall be limited to any claimant, as defined in Section 99203 of the Public Utilities Code.

- Grants shall require a project match equal to the percentage of Mills-Alquist-Deddeh Act (Transportation Development Act (TDA)) funds expended for purposes other than transit, averaged over fiscal years 1998-99, 1999-00 and 2000-01. The match requirement may not be less than 10 percent, and may not be more than 50 percent. However, no grant may be awarded to an applicant if that applicant expended TDA funds for street and road purposes in the same year as the year in which the application for a grant is made (fiscal year 2001-02).
 - A demonstration of maintenance of effort.
 - A demonstration of financial ability to support ongoing operations of the public transportation services.
- The Department is to prepare a report describing the types of projects funded under this Program, which shall be submitted to the Legislature on or before June 30, 2002.
 - Section 14057 becomes inoperative on July 1, 2002, and, as of January 1, 2003, is repealed, unless a later enacted statute, that becomes operative on or before January 1, 2003, deletes or extends the dates on which it becomes inoperative and is repealed.

- 1.4 WHEREAS, on October 4, 2001, the Commission adopted the Rural Transit System Grant Program Guidelines and Program Application outlining the Program process; and
- 1.5 WHEREAS, in February 2002, the Application Review Committee notified applicants of their project scores; and
- 1.6 WHEREAS, in March 2002, the Department submitted to the Commission the Program of Projects list with the funding recommendation from the Application Review Committee; and
- 1.7 WHEREAS, Commission staff has reviewed the Program of Projects list recommended by the Application Review Committee, as well as subsequent clarifications, and finds that the Program of Projects list contains projects that meet the intent and criteria of the Program; and
- 1.8 WHEREAS, the Commission has held a public hearing for the Rural Transit System Grant Program as outlined in the Program Guidelines.
- 2.1 NOW THEREFORE BE IT RESOLVED, that the California Transportation Commission hereby adopts the attached list of Projects, as recommended by Commission staff and the Application Review Committee as the Fiscal Year 2001-02 Rural Transit System Grant Program; and
- 2.2 BE IT FURTHER RESOLVED, that in adopting the attached list, the Commission hereby directs the Department to allocate funds for the projects on that list for a total of \$17,902,000.

**Rural Transit System Grant Program
FY 2001-02 Program of Projects**

REF #	Dist	CO	APPLICANT	Project Description	RTSGP Funds
80b	10	AMA	Amador Regional Transit	Replacement Vehicle - Bus	\$ 43,500
80c	10	AMA	Amador Regional Transit	Replacement Vehicle - Bus	\$ 43,500
3	01	DN	County of Del Norte	Replacement Vehicles - 4 Buses	\$ 230,000
25	03	GLE	Glenn CTC	Replacement Vehicle - Bus	\$ 92,000
4	01	HUM	City of Arcata	Replacement Vehicle - Bus	\$ 234,000
5	01	HUM	City of Eureka	Replacement Vehicle - Bus	\$ 234,000
75a	09	INY	Inyo-Mono Transit	Replacement Vehicle - Van	\$ 57,800
73	09	INY	Inyo-Mono Transit	Replacement Vehicle - 2 Vans	\$ 106,000
75b	09	INY	Inyo-Mono Transit	Replacement Vehicle - Van	\$ 57,800
75e	09	INY	Inyo-Mono Transit	Replacement Vehicle - Van	\$ 57,800
75c	09	INY	Inyo-Mono Transit	Replacement Vehicle - Van	\$ 57,800
75d	09	INY	Inyo-Mono Transit	Replacement Vehicle - Van	\$ 57,800
72	09	INY	Inyo-Mono Transit	Replacement Vehicle - 2 Buses	\$ 240,000
67b	07	LA	LA County Public Works	Replacement Vehicles - 4 Vans	\$ 123,000
67a	07	LA	LA County Public Works	Replacement Vehicles - 11 Buses	\$ 600,000
10d	01	LAK	Lake County Transit	Replacement Vehicle - Bus	\$ 108,000
10a	01	LAK	Lake County Transit	Replacement Vehicle - Bus	\$ 108,000
10e	01	LAK	Lake County Transit	Replacement Vehicle - 4 Buses	\$ 108,000
10c	01	LAK	Lake County Transit	Replacement Vehicle - Bus	\$ 108,000
10b	01	LAK	Lake County Transit	Replacement Vehicle - Bus	\$ 108,000
11e	01	LAK	Lake County Transit	Replacement Vehicle - Bus	\$ 65,200
11d	01	LAK	Lake County Transit	Replacement Vehicle - Bus	\$ 65,200
11c	01	LAK	Lake County Transit	Replacement Vehicle - Bus	\$ 65,200
11b	01	LAK	Lake County Transit	Replacement Vehicle - Bus	\$ 65,200
11a	01	LAK	Lake County Transit	Replacement Vehicle - Bus	\$ 65,200
12d	01	LAK	Lake County Transit	Replacement Vehicle - Bus	\$ 46,800
12b	01	LAK	Lake County Transit	Replacement Vehicle - Bus	\$ 46,800
12a	01	LAK	Lake County Transit	Replacement Vehicle - Bus	\$ 46,800
12c	01	LAK	Lake County Transit	Replacement Vehicle - Bus	\$ 46,800
12e	01	LAK	Lake County Transit	Replacement Vehicle - Bus	\$ 46,800
27	03	NEV	Nev Co Transit Svcs Dept	Replacement Vehicle - 3 Buses	\$ 215,000
31	03	PLA	Placer County DPW	Replacement Vehicles - 2 Buses	\$ 594,000
32b	03	PLA	PRIDE Industries	Replacement Vehicle - Service Truck	\$ 34,000
32a	03	PLA	PRIDE Industries	Replacement Vehicles - 3 Vans	\$ 147,000
17a	02	SHA	Shasta Senior Nutrition	Replacement Vehicle - Bus	\$ 47,000
18b	02	SHA	Shasta Senior Nutrition	Replacement Vehicle - Bus	\$ 45,667
18a	02	SHA	Shasta Senior Nutrition	Replacement Vehicle - Bus	\$ 45,667
18c	02	SHA	Shasta Senior Nutrition	Replacement Vehicle - Bus	\$ 45,667
55	05	SLO	City of Morro Bay	Replacement Vehicle - Trolley	\$ 108,000
57	05	SLO	City of Morro Bay	Replacement Vehicle - Bus	\$ 50,000
50	05	SLO	SLORTA	Replacement Vehicle - 3 Buses	\$ 810,000

**Rural Transit System Grant Program
FY 2001-02 Program of Projects**

REF #	Dist	CO	APPLICANT	Project Description	RTSGP Funds
51a	05	SLO	South County Area Transit	Replacement Vehicle - Bus	\$ 270,000
47f	05	SLO	UCP-Ride-On Transportation	Replacement Vehicle - Van	\$ 36,000
47e	05	SLO	UCP-Ride-On Transportation	Replacement Vehicle - Van	\$ 36,000
47b	05	SLO	UCP-Ride-On Transportation	Replacement Vehicle - Van	\$ 36,000
47c	05	SLO	UCP-Ride-On Transportation	Replacement Vehicle - Van	\$ 36,000
47a	05	SLO	UCP-Ride-On Transportation	Replacement Vehicle - Van	\$ 36,000
49	05	SLO	UCP-Ride-On Transportation	Replacement Vehicles - 2 Vans	\$ 117,000
43	04	SM	San Mateo Co Transit Dist	Replacement Vehicle - Bus	\$ 117,000
44	04	SON	Sonoma County Transit	Replacement Vehicles - 2 Buses	\$ 625,000
38a	03	TRPA	TRPA	Replacement vehicle - Trolley	\$ 225,000
64e	06	TUL	City of Tulare	Replacement Vehicle - Van	\$ 65,500
64d	06	TUL	City of Tulare	Replacement Vehicle - Van	\$ 65,500
81	10	AMA	Amador Regional Transit	Expansion Vehicle - Bus	\$ 72,000
2	01	DN	County of Del Norte	Expansion Vehicle - Bus	\$ 58,000
66	07	LA	LA County Public Works	Expansion Vehicle - 11 Buses	\$ 164,000
15	02	MOD	Modoc Transp Agency	Expansion Vehicle - Bus	\$ 60,000
41a	04	NAP	Napa County TPA	Expansion Vehicles - 2 Buses	\$ 72,000
41b	04	NAP	Napa County TPA	Expansion Vehicle - Van	\$ 30,000
71	08	RIV	Riverside Transit Agency	Expansion Vehicle - 4 Buses	\$ 1,116,000
34	03	SAC	Sacramento County	Expansion Vehicles - 2 Buses	\$ 101,000
33	03	SAC	Sacramento County	Expansion Vehicle - Bus	\$ 120,000
45	05	SBt	SBT Co Local Transp Auth	Expansion Vehicles - 3 Buses	\$ 116,000
58	05	SCR	Community Bridges	Expansion Vehicle - Van	\$ 36,000
86	11	SD	County Project System	Expansion Vehicle - 6 Buses	\$ 495,000
85	10	SJ	SJ Regional Transit District	Expansion Vehicle - 4 Buses	\$ 636,000
46	05	SLO	UCP-Ride-On Transportation	Expansion Vehicles - 3 Buses	\$ 176,000
22	02	TEH	City of Red Bluff	Expansion Vehicle - Van	\$ 32,000
36	03	TRPA	TRPA	Expansion Vehicle - 2 Trolleys	\$ 180,000
1	01	DN	County of Del Norte	New Facility	\$ 630,000
60	06	KER	City of California City	New Facility	\$ 250,000
61	06	KIN	City of Corcoran	New Facility	\$ 314,000
69	07	LA	LA County Public Works	New Facility	\$ 510,000
68	07	LA	LA County Public Works	New Facilities - 70 Shelters	\$ 525,000
9	01	LAK	Lake County Transit	New Facility	\$ 1,451,000
42	04	NAP	Napa County TPA	New Facilities - 17 Bus Stops	\$ 41,000
29	03	NEV	Nev Co Transit Svcs Dept	New Facilities - 4 Shelters	\$ 22,000
30	03	NEV	Town of Truckee	New Facilities - 3 Shelters	\$ 36,000
23	02	TEH	City of Red Bluff	New Facility	\$ 193,000
35	03	TRPA	City of South Lake Tahoe	New Facility	\$ 315,000
39	03	TRPA	TRPA	New Facilities - 7 Shelters	\$ 135,000
63	06	TUL	City of Tulare	New Facility	\$ 414,000

**Rural Transit System Grant Program
FY 2001-02 Program of Projects**

REF #	Dist	CO	APPLICANT	Project Description	RTSGP Funds
78	10	AMA	Amador Regional Transit	New Equipment - Two-Way Radios	\$ 17,000
7	01	HUM	HCAR Center	New Equipment - Computers/Software	\$ 31,000
74	09	INY	Inyo-Mono Transit	New Equipment - Computers/Office	\$ 18,000
26	03	NEV	Gold Country Telecare, Inc.	New Equipment - Dispatch System	\$ 25,000
28	03	NEV	Nev Co Transit Svcs Dept	New Equipment - Trolley Covers	\$ 13,000
59	05	SCR	Community Bridges	New Equipment - Mobil Data Comp.	\$ 61,000
56	05	SLO	City of Morro Bay	New Equipment - Communications	\$ 7,000
54	05	SLO	City of Morro Bay	New Equipment - Computer/Printer	\$ 3,000
53	05	SLO	City of Morro Bay	New Equipment - Fare Box Vaults	\$ 4,000
48	05	SLO	UCP-Ride-On Transportation	New Equipment - Two-Way Radios	\$ 45,000
37	03	TRPA	TRPA	New Equipment - Communications	\$ 400,000
40	03	TRPA	TRPA	New Equipment - Smart Card System	\$ 270,000
84	10	AMA	Amador Regional Transit	Facility Expansion	\$ 35,000
79	10	AMA	Amador Regional Transit	Facility Expansion	\$ 11,000
62	06	KIN	City of Corcoran	Facility Expansion	\$ 90,000
16	02	PLU	Plumas County CSA # 12	Facility Expansion	\$ 153,000
87	11	SD	NCTD	Facility Expansion	\$ 540,000
70	08	RIV	City of Beaumont	R/W Acquisition	\$ 478,000
52	05	SLO	City of Morro Bay	R/W for Civic Center Project	\$ 162,000
82	10	AMA	Amador Regional Transit	Facility Rehabilitation - 66 Bus Stops	\$ 97,000
					\$ 17,902,000